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ATMI LEGAL DEPT

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ATMI



7 Commerce Drive, Danbury, CT 06810 203.794.1100 203.797.2544  
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## facsimile transmittance

To: Office of Initial Patent Examination Fax: 703-746-9195  
Filing Receipt Correction

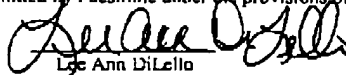
From: William F. Ryann Date: January 26, 2004

E-mail: wryann@atmi.com Pages: 6 (including cover sheet)

Re: U.S. Serial No. 10/606,520 cc:  
Our File: ATMI-651

### FACSIMILE TRANSMISSION CERTIFICATE

It hereby is certified by the person identified below that this paper is being facsimile transmitted by such person to the Commissioner of Patents and Trademarks on the date specified, to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, and Transmitted by Facsimile under the provisions of 37 CFR 1.6(d).

  
Lee Ann DiLello

January 26, 2004  
Date of Transmission

703-746-9195  
Facsimile Number

### OFFICIAL COMMUNICATION

Submission of Request for Corrected Filing Receipt in  
U.S. SERIAL NO. 10/606,520

Please find the following documents enclosed:

Transmittal Form (1 pg)  
Request for Corrected Filing Receipt (2 pgs)  
Red-lined version of Filing Receipt (1 pg)  
Copy of Fee Transmittal dated 6/26/2003 (1 pg)  
Facsimile Transmission Sheet (1 pg)

Please call 203-794-1100 ext. 4179 if there are any problems with this transmittal.

ATMI, Inc.  
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203-794-1100 (telephone)  
203-797-2544 (facsimile)

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/606,520
	Filing Date	June 26, 2003
	First Named Inventor	John N. Grett, et al.
	Group Art Unit	3751
	Examiner Name	TBA
Total Number of Pages in This Submission	Attorney Docket Number	ATMI-651

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt (2 pgs); Copy of Filing Receipt (1 pg); Copy of Fee Transmittal (1 pg)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William F. Ryann, Reg. No. 44,313
Signature	
Date	January 26, 2004

CERTIFICATE OF FACSIMILE (703) 746-9195	
I hereby certify that this correspondence is being facsimile transmitted pursuant to 37 C.F.R. 1.6(d) in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 1-26-04	
Type or printed name	Lee Ann DiLello
Signature	
Date	1-26-04

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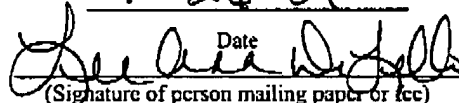
ATMI-651  
PATENT APPLICATION**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re United States Patent Application of: )  
Applicant: John N. Gregg, et al. )  
Serial No.: 10/606,520 )  
Date Filed: June 26, 2003 ) Group Art Unit: 3751  
Title: CANISTER GUARD )

I hereby certify that this Request for Corrected Filing Receipt is  
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Alexandria, VA 22313-1450

Lee Ann DiLello  
(Typed or printed name of person mailing paper or fee)

1-26-04

Date  
  
(Signature of person mailing paper or fee)

**REQUEST FOR CORRECTED FILING RECEIPT  
IN U.S. PATENT APPLICATION NO. 10/606,520**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

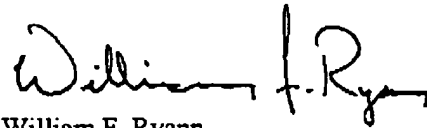
Sir:

Applicant hereby requests a Corrected Filing Receipt to correct an Error in the Filing Receipt dated December 10, 2003. Please find attached herewith a red-lined version of the Filing Receipt. The Filing Receipt indicates Applicant is a small entity and the filing fee is indicated as \$513.

Applicants enclose a copy of the Fee Transmittal that accompanied the application filed June 26, 2003. Applicant does not claim small entity status. Accordingly, please make this correction and issue a corrected filing receipt for our files.

Date: January 26, 2004

Respectfully submitted,



William F. Ryann  
Registration No.44,313  
Attorney for Applicants

ATMI, Inc.  
7 Commerce Drive  
Danbury, CT 06810  
Telephone: 203-794-1100  
Facsimile: 203-797-2544  
Attorney File: ATM-651



## UNITED STATES PATENT AND TRADEMARK OFFICE

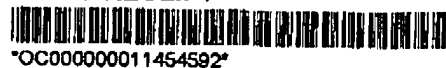
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APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
✓ 10/806,520	✓ 06/28/2003	✓ 3751	513 10326	ATMI-851	✓ 4	✓ 26	✓ 5

Advanced Technology Materials, Inc.  
7 Commerce Drive  
Danbury, CT 06810

CONFIRMATION NO. 5741

## FILING RECEIPT



Date Mailed: 12/10/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

✓ John N. Gregg, Residence Not Provided;  
✓ Donn Naito, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 09/18/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

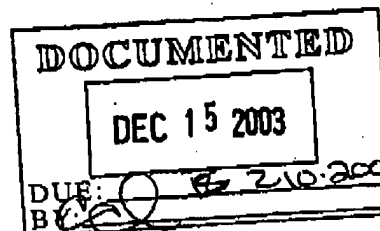
~~SMALL ENTITY~~ -- Large Entity --

Title

✓ Canister guard

Preliminary Class

141



PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL**  
**for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$ 1026

**Complete if Known**

Application Number

Filing Date

First Named Inventor

John N. Gregg

Examiner Name

Art Unit

Attorney Docket No.

ATMI-651

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

50-0860

Advanced Technology Mater

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750
1002 330	2002 165	Design filing fee	
1003 620	2003 280	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1006 180	2005 80	Provisional filing fee	

**SUBTOTAL (1)** (\$ 750**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
26	-20** = 6	x 18	= 108
Independent Claims	5	-3** = 2	x 84 = 168
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$ 1026

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,870	2255 935	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$**SUBMITTED BY**

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
William F. Ryann	44,313	203-794-1100
Signature	Date	

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: